

## CHANGE OF OFFICERS

### ALL KINDS OF ALCOHOLIC BEVERAGES RETAIL PACKAGE STORE FOOD VENDOR LICENSE

Trader Joe's East, Inc.  
d/b/a Trader Joe's #501  
1309 Beacon Street

Blair Carroll, Manager

From:	Dan Bane	President, CEO and Director
	Brandt Sharrock	Vice President, Clerk and Director
	Sharon Drabeck	Vice President, Treasurer and Director
To:	Dan Bane	President, CEO and Director
	Brandt Sharrock	Vice President, Clerk and Director
	Edward Seeker	Executive Vice President and Director
	Sharon Drabeck	Vice President and Treasurer

Police Department Report Attached

The Commonwealth of Massachusetts  
Alcoholic Beverages Control Commission  
239 Causeway Street  
Boston, MA 02114  
[www.mass.gov/abcc](http://www.mass.gov/abcc)

Print Form

**RETAIL ALCOHOLIC BEVERAGES LICENSE APPLICATION  
MONETARY TRANSMITTAL FORM**

APPLICATION SHOULD BE COMPLETED ON-LINE, PRINTED, SIGNED, AND SUBMITTED TO THE  
LOCAL LICENSING AUTHORITY.

REVENUE CODE: RETA

CHECK PAYABLE TO ABCC OR COMMONWEALTH OF MA: \$200.00

(CHECK MUST DENOTE THE NAME OF THE LICENSEE CORPORATION, LLC, PARTNERSHIP, OR INDIVIDUAL)

CHECK NUMBER

7d5163

IF USED EPAY, CONFIRMATION NUMBER:

A.B.C.C. LICENSE NUMBER (IF AN EXISTING LICENSEE, CAN BE OBTAINED FROM THE CITY):

014800116

LICENSEE NAME:

Trader Joe's East, Inc

ADDRESS:

1317 Beacon St.

CITY/TOWN:

Brookline

STATE MA

ZIP CODE

02446

**TRANSACTION TYPE (Please check all relevant transactions):**

- |   |  |   |   |
|---|--|---|---|
| <input type="checkbox"/> New License              | <input checked="" type="checkbox"/> New Officer/Director | <input type="checkbox"/> Pledge of License          | <input type="checkbox"/> Change Corporate Name  |
| <input type="checkbox"/> Transfer of License      | <input type="checkbox"/> Change of Location              | <input type="checkbox"/> Pledge of Stock            | <input type="checkbox"/> Seasonal to Annual     |
| <input type="checkbox"/> Change of Manager        | <input type="checkbox"/> Alteration of Licensed Premises | <input type="checkbox"/> Transfer of Stock          | <input type="checkbox"/> Change of License Type |
| <input type="checkbox"/> Cordials/Liqueurs Permit | <input type="checkbox"/> New Stockholder                 | <input type="checkbox"/> Issuance of Stock          | <input type="checkbox"/> Other                  |
| <input type="checkbox"/> 6-Day to 7-Day License   | <input type="checkbox"/> Management/Operating Agreement  | <input type="checkbox"/> Wine & Malt to All Alcohol |   |

THE LOCAL LICENSING AUTHORITY MUST MAIL THIS TRANSMITTAL  
FORM ALONG WITH THE CHECK, COMPLETED APPLICATION, AND  
SUPPORTING DOCUMENTS TO:

**ALCOHOLIC BEVERAGES CONTROL COMMISSION  
P. O. BOX 3396  
BOSTON, MA 02241-3396**

The Commonwealth of Massachusetts  
Alcoholic Beverages Control Commission  
239 Causeway Street  
Boston, MA 02114  
[www.mass.gov/abcc](http://www.mass.gov/abcc)

PETITION FOR TRANSFER OF OWNERSHIP

14800116

Brookline

ABCC License Number

City/Town

The licensee Trader Joe's East, Inc and the proposed transferee Trader Joe's East, Inc.  
respectfully petition the Licensing Authorities to approve the following transfer of ownership.

Is the PRESENT licensee a Corporation/LLC duly registered under the laws of the Commonwealth of Massachusetts?

☒ Yes ☐ No If YES, please list the officers, directors and stockholders, their residences, and shares owned by each.

Name	Title	Address	Stock or % Owned
Dan Bane	Pres./CEO/Dir.	303 Palmetto Dr. Pasadena, CA 91105	0
Brandt Sharrock	VP/Clerk/Dir	7 Arden Rd. Wellesley, MA 02482	0
Sharon Drabeck	VP/Treas./Dir	1526 Wilder St. Thousand Oaks, CA 91362	0

Is the PROPOSED transferee a Corporation/LLC, duly registered under the laws of the Commonwealth of Massachusetts?

☒ Yes ☐ No If YES, please list the officers, directors and stockholders, their residences, and shares owned by each.

TO: (Place an \* before the name of each DIRECTOR/LLC Manager.)

Name	Title	Address	Stock or % Owned
*Dan Bane	Pres./CEO/Dir.	303 Palmetto Dr. Pasadena, CA 91105	0
*Brandt Sharrock	VP/Clerk/Dir	7 Arden Rd. Wellesley, MA 02482	0
*Edward Seeker	Exec VP/Dir	30 Seaver St. Wellesley, MA 04281	0
Sharon Drabeck	VP/Treas	1526 Wilder St. Thousand Oaks, CA 91362	0

The above named proposed transferee hereby joins in this petition for transfer of said license.

SIGNATURE OF LAST-APPROVED LICENSEE:

(If a Corporation/LLC, by its authorized representative)

SIGNATURE OF PROPOSED TRANSFEREE:

Date Signed

3/2/12

# Application for Retail Alcoholic Beverage License

City/Town

Brookline

## 1. Licensee Information:

Legal Name/Entity of Applicant:(e.g Corporation, LLC, Individual) Trader Joe's East, Inc

Business Name (if different): Trader Joe's #501

Manager of Record: Blair Carroll

ABBC License Number (for existing licenses only): 014800116

Address of Licensed Premises: 1317 Beacon St

CITY/TOWN: Brookline

STATE MA

ZIP 02446

Business Phone: (617) 278-9997

Cell Phone: N/A

Email: Mrosenthal@traderjoes.com

Website: TraderJoes.Com

## 2. Transaction:

☐ New License

☒ New Officer/Director

☐ Transfer of Stock

☐ Issuance of Stock

☐ Transfer of License

☐ New Stockholder

☐ Management/Operating Agreement

### The following transactions must be processed as new licenses:

☐ Seasonal to Annual

☐ 6-Day to 7-Day License

☐ Wine & Malt to All Alcohol

**IMPORTANT ATTACHMENTS:** The applicant must attach a vote of the entity authorizing all requested transactions, including the appointment of a Manager of Record or principal representative.

## 3. Type of License:

☐ \$12 Restaurant

☐ \$12 Hotel

☐ \$12 Club

☐ \$12 Veterans Club

☐ \$12 General On-Premise

☐ \$12 Tavern (No Sundays)

☒ \$15 Package Store

## 4. License Catagory:

☒ All Alcoholic Beverages

☐ Wine & Malt Beverages Only

☐ Wine or Malt Only

☐ Wine & Malt Beverages with Cordials/Liqueurs Permit

## 5. License Class:

☒ Annual

☐ Seasonal

**6. Contact Person concerning this application (attorney if applicable)**

NAME:

Andrew F. Upton

ADDRESS:

185 Devonshire St. Suite 902

CITY/TOWN:

Boston

STATE

MA

ZIP CODE

02110

CONTACT PHONE NUMBER:

617-279-2592

FAX NUMBER:

617-426-0587

EMAIL:

Andrew.upton@dsu-law.com

**7. Description of Premises:**

Please provide a complete description of the premises to be licensed. The description should include the location of all entrances and exits.

Please see attached  
Total Square Footage 15603

**IMPORTANT ATTACHMENTS:** The applicant must attach a floor plan with dimensions and square footage for each floor & room.

Occupancy Number: n/a

Seating Capacity:

n/a

**8. Occupancy of Premises:**

By what right does the applicant have possession and/or legal occupancy of the premises?

Final Lease

**IMPORTANT ATTACHMENTS:** The applicant must submit a copy of the final lease or documents evidencing a legal right to occupy the premises.

Other:

Landlord is a(n):

Trust

Other

Sydney Associates, Inc. - Property Manager

Name

KS Company Trust

Phone:

617-566-1443 x 103

Address:

30 Nobscott Rd. Unit 5

City/Town:

Sudbury

State

MA

Zip

02146

Initial Lease Term: Beginning Date

02/15/1996

Ending Date

01/31/2021

Renewal Term:

1 years

Options/Extensions at

5

Years Each

Rent:

\$882,193.62

per year

Rent:

\$73,516.13

per month

Do the terms of the lease or other arrangement require payments to the Landlord based on a percentage of the alcohol sales?  
Yes ☐ No ☒

**IMPORTANT ATTACHMENTS:** If yes, the Landlord is deemed a person or entity with a financial or beneficial interest in this license. Each individual with an ownership interest in the Landlord must be disclosed in §10 and must submit a completed Personal Information Form attached to this application. Entity formation documents for the Landlord entity must accompany the application to confirm the individuals disclosed.

**9. Licensee Structure:**

The Applicant is a(n):

Corporation

Other:

If the applicant is a Corporation or LLC, complete the following:

State of Incorporation/Organization: MA

Date of Incorporation/Organization:

November 1995

Is the Corporation publicly traded? Yes ☐ No ☒**10. Interests in this License:**

List all individuals involved in the entity (e.g. corporate stockholders, directors, officers and LLC members and managers) and any person or entity with a direct or indirect, beneficial or financial interest in this license (e.g. landlord with a percentage rent based on alcohol sales).

**IMPORTANT ATTACHMENTS:** All individuals or entities listed below are required to complete a Personal Information Form.

Name	Title	Stock or % Owned	Other Beneficial Interest
Danny T. Bane	Pres./CEO/ Director	0	None
Brandt Sharrock	VP/Clerk/Director	0	None
Edward A. Seeker	Executive VP/Director	0	None
Sharon Drabeck	Treasurer	0	None

\*If additional space is needed, please use last page.

**11. Existing Interests in Other Licenses:**

Does any individual listed in §10 have any direct or indirect, beneficial or financial interest in any other license to sell alcoholic beverages? Yes ☒ No ☐ If yes, list said interest below:

Name	License Type	Licensee Name & Address
See attached list	Please Select	
	Please Select	
	Please Select	
	Please Select	
	Please Select	
	Please Select	
	Please Select	

\*If additional space is needed, please use last page.

**12. Previously Held Interests in Other Licenses:**

Has any individual listed in §10 who has a direct or indirect beneficial interest in this license ever held a direct or indirect, beneficial or financial interest in a license to sell alcoholic beverages, which is not presently held? Yes ☐ No ☒ If yes, list said interest below:

Name	Licensee Name & Address	Date	Reason Terminated
			Please Select
			Please Select
			Please Select

**13. Disclosure of License Disciplinary Action:**

Have any of the disclosed licenses to sell alcoholic beverages listed in §11 and/or §12 ever been suspended, revoked or cancelled? Yes ☒ No ☐ If yes, list said interest below:

Date	License	Reason of Suspension, Revocation or Cancellation
01/13/2000	14800116	Sale to person under 21
06/28/2000	16600238	Sale to person under 21

**14. Criminal Record:**

Has any individual listed in §10 or who has a direct or indirect beneficial interest in this license ever been convicted of a municipal, state, federal or military crime? Yes ☐ No ☒

If yes, the individual must provide an affidavit as to any and all charges as well as the disposition.

**15. Citizenship and Residency Requirements for a (§15) Package Store License ONLY:**

1. Are all Directors/LLC Managers U.S. Citizens? Yes ☒ No ☐
2. Are a majority of Directors/LLC Managers Massachusetts Residents? Yes ☒ No ☐
3. Is the License Manager or Principal Representative a U.S. Citizen? Yes ☒ No ☐
4. Are all members and partners involved at least twenty-one years old? Yes ☒ No ☐

**16. Citizenship and Residency Requirements for (§12) Restaurant, Hotel, Club, General On Premise, Tavern, Veterans Club License ONLY:**

1. Are all Directors/LLC Managers U.S. Citizens? Yes ☐ No ☐
2. Are a majority of Directors/LLC Managers Massachusetts Residents? Yes ☐ No ☐
3. Is the License Manager or Principal Representative a U.S. Citizen? Yes ☐ No ☐

**17. Costs Associated with License Transaction:**

A. Purchase Price for Real Property:	\$0.00
B. Purchase Price for Business Assets:	\$0.00
C. Costs of Renovations/Construction:	\$0.00
D. Initial Start-Up Costs:	\$0.00
E. Purchase Price for Inventory:	
F. Other: (Specify)	\$0.00
<b>G: TOTAL COST</b>	\$0.00
<b>H. TOTAL CASH</b>	
<b>I. TOTAL AMOUNT FINANCED</b>	\$0.00

**IMPORTANT ATTACHMENTS:** Submit any and all records, documents and affidavits including loan agreements that explain the source(s) of money for this transaction. Sources of cash should include a minimum of three (3) months of bank statements.

The amounts listed in subsections (H) and (I) must total the amount reflected in (G).

**18. Provide a detailed explanation of the form(s) and source(s) of funding for the costs identified in §17 (include loans, mortgages, lines of credit, notes, personal funds, gifts):**

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\*If additional space is needed, please use last page.

**19. List each lender and loan amount(s) from which "total amount financed" noted in subsections 17(I) will derive:**

Name	Dollar Amount	Type of Financing

\*If additional space is needed, please use last page.

Does any individual or entity listed in §19 as a source of financing have a direct or indirect, beneficial or financial interest in this license or any other license(s) granted under Chapter 138? Yes ☐ No ☐

If yes, please describe:

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**20. Pledge: (i.e. collateral for a loan)**

Is the applicant seeking approval to pledge the license?

☐ Yes ☒ No

If yes, describe terms and conditions and to whom:

If a corporation, is the applicant seeking approval to pledge any of the corporate stock?

☐ Yes ☒ No

If yes, to whom:

Number of Shares

Is the applicant pledging the inventory? ☐ Yes ☒ No

If yes, to whom:

**IMPORTANT ATTACHMENTS:** If you are applying for a pledge, submit the pledge agreement, the promissory note and a vote of the Corporation/LLC approving the pledge.

**21. Construction of Premise**

Are the premises being remodeled, redecorated or constructed in any way? If YES, please provide a description of the work being performed on the premises: ☐ Yes ☒ No

**If all the information is not completed the  
application may be returned**

### APPLICANT'S STATEMENT

I, Brandt Sharrock the: ☐ sole proprietor; ☐ partner; ☒ corporate principal; ☐ LLC/LLP member  
of Trader Joe's East, Inc, hereby submit this application for Change of Director (hereinafter the  
"Application"), to the local licensing authority (the "LLA") and the Alcoholic Beverages Control Commission (the  
"ABCC" and together with the LLA collectively the "Licensing Authorities") for approval.

I do hereby declare under the pains and penalties of perjury that I have personal knowledge of the information submitted in the Application, and as such affirm that all statement and representations therein are true to the best of my knowledge and belief. I further submit the following to be true and accurate:

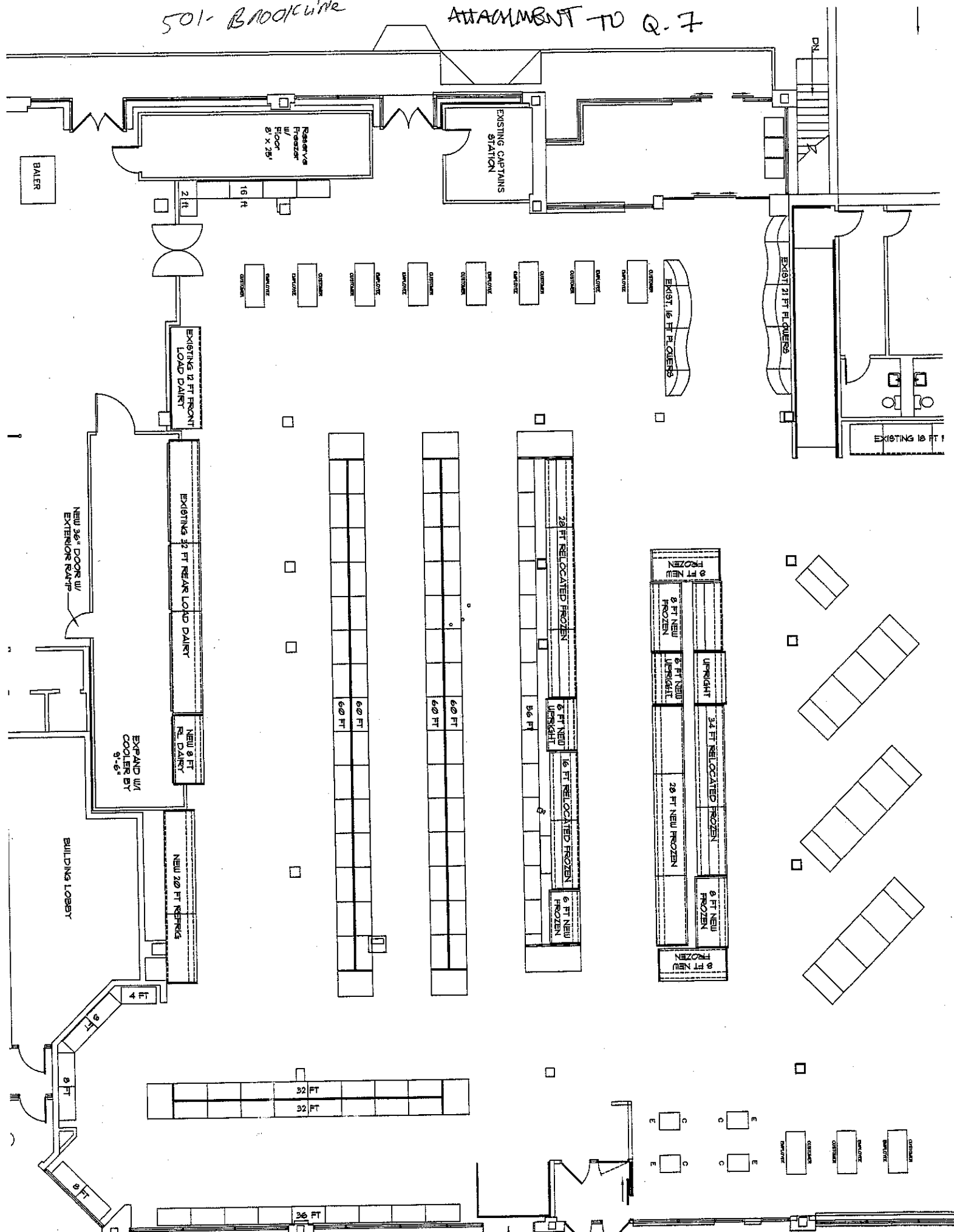
- (1) I understand that each representation in this Application is material to the Licensing Authorities' decision on the Application and that the Licensing Authorities will rely on each and every answer in the Application and accompanying documents in reaching its decision;
- (2) I state that the location and description of the proposed licensed premises does not violate any requirement of the ABCC or other state law or local ordinances;
- (3) I understand that while the Application is pending, I must notify the Licensing Authorities of any change in the information submitted therein. I understand that failure to give such notice to the Licensing Authorities may result in disapproval of the Application;
- (4) I understand that upon approval of the Application, I must notify the Licensing Authorities of any change in the Application information as approved by the Licensing Authorities. I understand that failure to give such notice to the Licensing Authorities may result in sanctions including revocation of any license for which this Application is submitted;
- (5) I understand that the licensee will be bound by the statements and representations made in the Application, including, but not limited to the identity of persons with an ownership or financial interest in the license;
- (6) I understand that all statements and representations made become conditions of the license;
- (7) I understand that any physical alterations to or changes to the size of, the area used for the sale, delivery, storage, or consumption of alcoholic beverages, must be reported to the Licensing Authorities and may require the prior approval of the Licensing Authorities;
- (8) I understand that the licensee's failure to operate the licensed premises in accordance with the statements and representations made in the Application may result in sanctions, including the revocation of any license for which the Application was submitted; and
- (9) I understand that any false statement or misrepresentation will constitute cause for disapproval of the Application or sanctions including revocation of any license for which this Application is submitted.

Signature: 

Date                     

Title VP/Clerk/Director

ATTACHMENT TO Q. 7



Trader Joe's East, Inc. - Liquor Licenses by Location			revised	04/30/12
Store #	Address	ZIP	Permit or License #	Opening or Issuance
<b>MA</b>				
501	1317 Beacon St., Brookline	02146	14800116	10/14/95
502	727 Memorial Dr., Cambridge	02139	16600238	02/07/96
503	659 Worcester Rd., Framingham	01701	42800124	03/01/96
<b>CT</b>				
521	400 Post Road East, Westport	06880	LGB.0014343.P	01/21/09
522	436 Boston Post Rd. Darien	06820	LGB.0014344.P	01/21/09
523	2258 Black Rock Turnpike, Fairfield	06625	LGB.0014341.P	12/16/09
524	560 Boston Post Road, Orange	06477	LGB.0014339.P	12/16/09
525	113 Mill Plain Rd., Danbury	06811	LGB.0014340.P	01/21/09
526	1489 New Britain Ave., West Hartford	06110	LGB.0014342.P	01/21/09
<b>NJ</b>				
601	155 Elm St., Westfield	07090	2020-44-08-006	08/01/97
607	3528 US Rt. 1 Princeton, NJ	08540	1113-44-014-001	04/19/10
<b>VA</b>				
641	7514 Leesburg Pike., Falls Church	22043	947	01/09/98
643	9464 Main St, Fairfax	22031	3073	07/01/98
644	5847 Leesburg Pike, Falls Church	22041	6826	08/01/99
646	11958 Killingsworth Ave., Reston	20194	12582	10/17/01
647	612 N. Saint Asaph St., Alexandria	22314	10848	11/01/00
651	6394 Springfield Plaza, Springfield	22150	23596	3/17/2003
654	14100 Lee Highway, Centerville	20120	36094	10/20/05
656	12551 Jefferson Ave. Suite 179 Newpo	23602	40390	12/06/05
657	5000 Settlers Market Blvd., Williamsbu	23188	48008	08/13/08
659	11331 W. Broad St. Glen Allen	23060	4977	Aug 27, 2008
660	503 Hilltop Plaza Virginia Beach	23454	53761	04/13/09
661	Charlottesville		Pending	
<b>IN</b>				
670	2902 W. 86th Street, Indianapolis	46268	DL4918739	08/16/00
671	5473 E. 82nd St., Indianapolis	46250	DL4919215	08/22/01
<b>OH</b>				
669	7788 Montgomery Rd., Cincinnati	45236	90187600030	10/29/04
672	6355 Sawmill Rd., Dublin	43017	9018760	02/01/02
673	328 E. Stroop Rd., Kettering	45429	9018760-005	10/21/2002
676	28809 Chagrin Blvd., Woodmere	44122	9018760-0020	10/1/2003
677	175 Market St., Westlake	44145	9018760-0015	3/24/2005
679	3888 Townsfall Way, Columbus	43219	9018760-0025	9/2/2004
<b>MI</b>				
665	17030 Kercheval Rd. Grosse Pointe	48230	SDM165628-2007	01/18/08
667	20490 Haggerty Rd., Northville	48167	SDM 141507-2005	11/14/05
674	27880 Woodward Ave., Royal Oak	48607	SDM11494-2002	11/15/2002
675	31221 W. 14 Mile Rd., Farmington Hills	48334	SDM115096-2002	11/22/2002
678	2398 E Stadium Blvd., Ann Arbor	48104	sdm 125271-2003	3/19/2004
668	3044 Walton Blvd., Rochester Hills	48309	SDM 136164-2005	5/1/2005
<b>NY</b>				
540	142 E 14th St NY, NY	10009	1174041122	3/15/2006
541	140 E 14th St NY, NY	10009	1161266322	02/3/06
542	2073 Broadway NY, NY	10023		
543	675 6th Ave NY, NY	10010	1244703	7/12/2010
557	90-30 Metropolitan Ave, Queens	11374	1212294	9/25/2008
558	130 Court St. Brooklyn, NY	11201	1212308	9/25/2008
531	727 White Plains rd Scarsdale	10583	1234568	6/23/2010
532	1260 Boston Post Rd. Larchmont	10538	1234517	6/23/2010
533	215 N. Central Ave. Hartsdale	10530	1234556	6/23/2010
551	5010 Jericho Tpke. Commack	11725	1234691	6/23/2010
552	3418 Long Beach Rd. Oceanside	11572	1235085	6/23/2010
553	1714 Merrick Rd. Merrick	11566	1234679	6/23/2010
554	1280 W. Broadway Hewlett	11557	1234544	6/23/2010
555	425 Oyster Bay Rd. Plainview	11803	1234528	6/23/2010
556	137 Alexander Ave Lake Grove	11755	1234689	6/23/2010
559	2385 Richmond Ave. Staten Island	10314	1257137	9/26/2011
<b>MN</b>				
710				

[illegible]

# ATTACHMENT TO D.13

Trader Joe's # 501, Brookline, MA	1/13/2000	Sale to Person Under 21	Suspended Sentence
Trader Joe's #502, Cambridge, Ma	6/28/2000	Sale to Person Under 21	Training

The Commonwealth of Massachusetts  
Alcoholic Beverages Control Commission  
239 Causeway Street  
Boston, MA 02114  
[www.mass.gov/abcc](http://www.mass.gov/abcc)

Personal Information Form

Each individual listed in Section 10 of this application must complete this form.

1. Licensee Information:

Legal Name of Licensee	Trader Joe's East, Inc	Business Name (d/b/a)	Trader Joe's #528
Address:	711 Atlantic Ave. 3rd Floor	ABCC License Number: (If existing licensee)	
City/Town	Boston	State	MA
		Zip Code	02111
Phone Number of Premise	617 278 9007	EIN of License	04-329-4200

2. Personal Information:

Individual Name	BRANDT SHAMROCK	Home Phone Number:	[REDACTED]
Address	7 ARDEN RD		
City/Town	Wellesley	State	MA
		Zip Code	02482
Social Security Number	[REDACTED]	Date of Birth	[REDACTED]
Place of Employment			
Have you ever been convicted of a state, federal or military crime? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>			
If yes, attach an affidavit as to all charges and disposition.			

3. Financial Interest:

Provide a detailed description of your direct or indirect, beneficial or financial interest in this license.

None

**IMPORTANT ATTACHMENTS:** For all cash contributions, attach last 3 months of bank statements for the source(s) of this cash.  
\*If additional space is needed, please use the last page\*

I hereby swear under the pains and penalties of perjury that the information I have provided in this application is true and accurate:

Signature 

Date

11/26/12

Title

VP/Client/Direction

(If Corporation/LLC Representative)

The Commonwealth of Massachusetts  
Alcoholic Beverages Control Commission  
239 Causeway Street  
Boston, MA 02114  
[www.mass.gov/abcc](http://www.mass.gov/abcc)

Personal Information Form

Each individual listed in Section 10 of this application must complete this form.

1. Licensee Information:

Legal Name of Licensee	Trader Joe's East, Inc	Business Name (d/b/a)	Trader Joe's #528
Address:	711 Atlantic Ave. 3rd Floor	ABCC License Number: (If existing licensee)	014800116
City/Town	Boston	State	MA
		Zip Code	02111
Phone Number of Premise	67 278 9997	EIN of License	04-329-4200

2. Personal Information:

Individual Name	SHANON DRABECK	Home Phone Number:	[REDACTED]
Address	1526 WILDER ST		
City/Town	THOUSAND OAKS	State	CA
		Zip Code	91362
Social Security Number	[REDACTED]	Date of Birth	[REDACTED]
Place of Employment			
Have you ever been convicted of a state, federal or military crime? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>			
If yes, attach an affidavit as to all charges and disposition.			

3. Financial Interest:

Provide a detailed description of your direct or indirect, beneficial or financial interest in this license.

None

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\*If additional space is needed, please use the last page\*

I hereby swear under the pains and penalties of perjury that the information I have provided in this application is true and accurate.

Signature

*[Signature]*

Date

11/26/12

Title

VP/Treas/Dir

(If Corporation/LLC Representative)



## ACKNOWLEDGMENT

State of California  
County of Los Angeles

On January 26, 2012 before me, T.C. Colley, Notary Public  
(insert name and title of the officer)

personally appeared Sharon Ann Drabeck  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that ~~he~~/she/~~they~~ executed the same in  
~~his~~/her/~~their~~ authorized capacity(ies), and that by ~~his~~/her/~~their~~ signature(s) on the instrument the  
person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

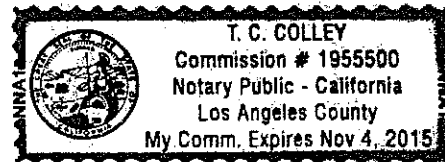
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing  
paragraph is true and correct.

WITNESS my hand and official seal.

Signature

T.C. Colley

(Seal)



The Commonwealth of Massachusetts  
Alcoholic Beverages Control Commission  
239 Causeway Street  
Boston, MA 02114  
[www.mass.gov/abcc](http://www.mass.gov/abcc)

Personal Information Form

Each individual listed in Section 10 of this application must complete this form.

1. Licensee Information:

Legal Name of Licensee	Trader Joe's East, Inc	Business Name (d/b/a)	Trader Joe's #528
Address:	711 Atlantic Ave. 3rd Floor	ABCC License Number: (If existing licensee)	014800116
City/Town	Boston	State	MA
		Zip Code	02111
Phone Number of Premise	617 278 9497	EIN of License	04-329-4200

2. Personal Information:

Individual Name	Dan Bane	Home Phone Number:	[REDACTED]
Address	303 Pacemeto Dr		
City/Town	PASADENA	State	CA
		Zip Code	91105
Social Security Number	[REDACTED]	Date of Birth	[REDACTED]
Place of Employment			
Have you ever been convicted of a state, federal or military crime? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>			
If yes, attach an affidavit as to all charges and disposition.			

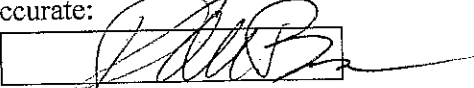
3. Financial Interest:

Provide a detailed description of your direct or indirect, beneficial or financial interest in this license.

None

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\*If additional space is needed, please use the last page\*

I hereby swear under the pains and penalties of perjury that the information I have provided in this application is true and accurate:

Signature  Date 1-31-12

Title Pres/CEO/DIR (If Corporation/LLC Representative)

# Jurat

State of California

County of Los Angeles

Subscribed and sworn to (or affirmed) before me on this 31st day of January,

20 12 by Dan Bane

proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.

Erin M. Keating  
Signature

(Notary seal)



## OPTIONAL INFORMATION

### DESCRIPTION OF THE ATTACHED DOCUMENT

Personal Info Form, Massachusetts Alcohol  
(Title or description of attached document)

Beverages Control Commission  
(Title or description of attached document continued)

Number of Pages 1 Document Date 1/31/12

(Additional information)

Example of an oath or affirmation to be asked by the notary prior to signing: "Do you swear or affirm that the statements made in the attached document are true to the best of your knowledge?" (The affiant must reply affirmatively.)

### INSTRUCTIONS FOR COMPLETING THIS FORM

The wording of all Jurats completed in California after January 1, 2008 must be in the form as set forth within this Jurat. There are no exceptions. If a Jurat to be completed does not follow this form, the notary must correct the verbiage by using a jurat stamp containing the correct wording or attaching a separate jurat form such as this one which does contain proper wording. In addition, the notary must require an oath or affirmation from the document signer regarding the truthfulness of the contents of the document. The document must be signed AFTER the oath or affirmation. If the document was previously signed, it must be re-signed in front of the notary public during the jurat process.

- State and County information must be the State and County where the document signer(s) personally appeared before the notary public.
- Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the jurat process is completed.
- Print the name(s) of document signer(s) who personally appear at the time of notarization.
- Signature of the notary public must match the signature on file with the office of the county clerk.
- The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a sufficient area permits, otherwise complete a different jurat form.
  - ❖ Additional information is not required but could help to ensure this jurat is not misused or attached to a different document.
  - ❖ Indicate title or type of attached document, number of pages and date.
- Securely attach this document to the signed document

The Commonwealth of Massachusetts  
Alcoholic Beverages Control Commission  
239 Causeway Street  
Boston, MA 02114  
[www.mass.gov/ahcc](http://www.mass.gov/ahcc)

Personal Information Form

Each individual listed in Section 10 of this application must complete this form.

1. Licensee Information:

Legal Name of Licensee	Trader Joe's East, Inc	Business Name (d/b/a)	Trader Joe's #528
Address:	711 Atlantic Ave. 3rd Floor	ABCC License Number: (If existing licensee)	
City/Town	Boston	State	MA
		Zip Code	02111
Phone Number of Premise		EIN of License	04-329-4200

2. Personal Information:

Individual Name	EDWARD A. SEEKER	Home Phone Number:	
Address	30 SEAVEN STREET		
City/Town	WELLESLEY	State	MA
		Zip Code	02481
Social Security Number		Date of Birth	
Place of Employment	TRADER JOE'S COMPANY		
Have you ever been convicted of a state, federal or military crime? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>			
If yes, attach an affidavit as to all charges and disposition.			

3. Financial Interest:

Provide a detailed description of your direct or indirect, beneficial or financial interest in this license.

None -

IMPORTANT ATTACHMENTS: For all cash contributions, attach last 3 months of bank statements for the source(s) of this cash.  
\*If additional space is needed, please use the last page\*

I hereby swear under the pains and penalties of perjury that the information I have provided in this application is true and accurate:

Signature

EDWARD A. SEEKER

Date

2-27-2012

Title

EVP

(If Corporation/LLC Representative)

## TRADER JOE'S EAST INC.

Action by Directors Without a Meeting

February 27, 2012

Pursuant to Section 59 of Chapter 156B of the General Laws of the Commonwealth of Massachusetts, the undersigned, being all of the Directors of Trader Joe's East Inc., a Massachusetts corporation (the "Corporation") hereby consent to the following action and direct that this writing be placed with the records of the meetings of the Directors of the Corporation to be treated for all purposes as a special meeting of the Directors of the Corporation.

The adoption of the following votes:

VOTED: Effective February 27, 2012 to promote Edward Seeker, Executive Vice President, to serve as Director of the Corporation until the next annual meeting of the Directors of the Corporation or until his successor is elected and qualified.

VOTED: Effective February 27, 2012 to remove Sharon A. Drabek as Director of the Corporation. Sharon A. Drabek will continue to serve as Treasurer until the next annual meeting of the Directors of the Corporation or until her successor is elected and qualified.

VOTED: That the officers of the Corporation be, and each of them hereby is, authorized and directed to do or cause to be done any and all such other acts and things and to execute and deliver any and all such further documents as he or they may deem necessary or appropriate to carry into effect the full intent and purpose of the foregoing votes, the taking of any such actions or the execution or delivery of any such documents by such officer or officers to be conclusive evidence that the same were authorized by this vote.

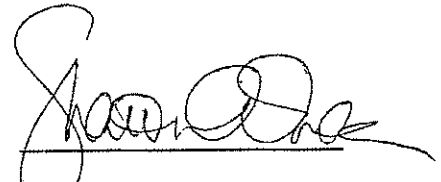
DIRECTORS:



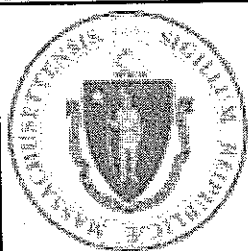
Dan Bane



Brandt Sharrock



Sharon A. Drabek



**The Commonwealth of Massachusetts**  
**William Francis Galvin**

No Fee

Secretary of the Commonwealth, Corporations Division  
One Ashburton Place, 17th floor  
Boston, MA 02108-1512  
Telephone: (617) 727-9640

**Statement of Change of Supplemental Information**

(General Laws, Chapter 156D, Section 2.02 AND Section 8.45, 950 CMR 113.17)

1. Exact name of the corporation: TRADER JOE'S EAST INC.

2. Current registered office address:

Name: PARACORP INCORPORATED  
No. and Street: 80 RANDALL STREET  
City or Town: NORTH EASTON State: MA Zip: 02356 Country: USA

3. The following supplemental information has changed:

Names and street addresses of the directors, president, treasurer, secretary

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code
PRESIDENT	DAN BANE	800 S SHAMROCK MONROVIA, CA 91017 US
TREASURER	SHARON DRABECK	800 S. SHAMROCK AVENUE MONROVIA, CA 91016 USA
SECRETARY	BRANDT SHARROCK	7 ARDEN ROAD WELLESLEY, MA 02482 USA
DIRECTOR	BRANDT SHARROCK	7 ARDEN ROAD WELLESLEY, MA 02482 USA
DIRECTOR	DAN BANE	800 S SHAMROCK MONROVIA, CA 91017 US
DIRECTOR	EDWARD SEEKER	30 SEAVER STREET WELLESLEY, MA 02481 USA

Fiscal year end:

June

Type of business in which the corporation intends to engage:

RETAIL GROCERY

☒ Principal office address:

No. and Street: 711 ATLANTIC AVENUE  
FL 3  
City or Town: BOSTON State: MA Zip: 02111 Country: USA

g. Street address where the records of the corporation required to be kept in the Commonwealth are located (post office boxes are not acceptable):

No. and Street:

711 ATLANTIC AVENUE

City or Town:

FL 3

BOSTON

State: MA

Zip: 02111

Country: USA

which is

☒ its principal office

☐ an office of its transfer agent

☐ an office of its secretary/assistant secretary

☐ its registered office

Signed by SHARON DRABECK, its OTHER OFFICER  
on this 27 Day of February, 2012

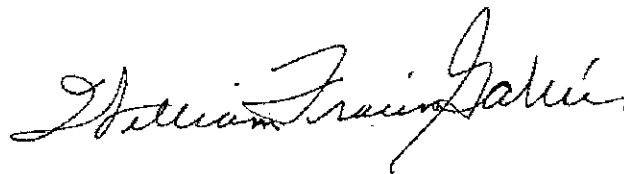
© 2001 - 2012 Commonwealth of Massachusetts  
All Rights Reserved

MA SOC Filing Number: 201272390080 Date: 2/27/2012 3:41:00 PM

THE COMMONWEALTH OF MASSACHUSETTS

I hereby certify that, upon examination of this document, duly submitted to me, it appears that the provisions of the General Laws relative to corporations have been complied with, and I hereby approve said articles; and the filing fee having been paid, said articles are deemed to have been filed with me on:

February 27, 2012 03:41 PM

A handwritten signature in cursive script, reading "William Francis Galvin". The signature is written in dark ink and is positioned above the printed name and title.

WILLIAM FRANCIS GALVIN

*Secretary of the Commonwealth*



No.: LN-2012-0424



**Commonwealth of Massachusetts  
Town of Brookline**

**LIQUOR LICENSE  
RETAIL PACKAGE STORE**

**THE BOARD OF SELECTMEN**

Hereby Grants a  
License to Expose, Keep for Sale, and to Sell  
**ALL KINDS OF ALCOHOLIC BEVERAGES**  
**NOT To Be Drunk On the Premises**

between the hours of 8:00 AM to 11:00 PM, but not before 12 noon on Sundays and before 8 a.m. on all other days, and not after 11:30 p.m. on a day immediately preceding a legal holiday and after 11:00 p.m. on all other days, and except on any day on which a state or municipal election, caucus or primary is held, unless otherwise permitted by the Board of Selectmen

To: **TRADER JOE'S EAST, INC., d/b/a TRADER JOE'S 501**

**Blair Carroll, Manager**

on the following premises **1309 BEACON ST**

on the premises covered by said license to patrons twenty-one years of age or older.

This license is granted subject to, and conditioned on, the licensee's compliance with, all applicable federal, state and local laws, regulations of the licensing authorities and conditions stated on this license, and it expires on **December 31, 2012.**

IN TESTMONY WHEREOF, the undersigned have hereunto affixed their official signatures on **December 13, 2011.**

*Betsy DeWitt*  
*James R. Carroll*  
*Richard M. Beaulieu*  
*[Signature]*

**BOARD OF SELECTMEN**  
**THIS LICENSE MUST BE DISPLAYED ON THE PREMISES**

**Conditions:**

1. Licensee is responsible for compliance with requirements of the Town Zoning Bylaws with respect to signage. The Town's Planning & Community Development Department is available for consultation and assistance if there is any question related to signage in Brookline.
2. Licensee is responsible for continued compliance for any conditions attached to their property related to a Board of Appeals decision or a Planning Board sign and facade review. The Town's Planning & Community Development Department is available for consultation and assistance if there is any question related to conditions attached to any Board of Appeals or Planning Board decision.



## BROOKLINE POLICE DEPARTMENT

*Brookline, Massachusetts*

DANIEL C. O'LEARY  
CHIEF OF POLICE

Lieutenant Derek Hayes  
Liquor Licensing/Inspections  
dhayes@brooklinema.gov  
617-730-2659

To: Chief Daniel O'Leary

Re: Trader Joe's – 1309 Beacon St.  
Change of Corporate Officers

Date: June 10<sup>th</sup> 2012

---

Sir,

On Behalf of Trader Joe's East, Inc. Dan Bane (President) and Blair Carroll (Manager of Record) applied for a change in corporate officers. Trader Joe's located at 1309 Beacon St. is a current holder of a license to Expose, Keep for Sale, and to Sell All Kinds of Alcoholic Beverages as a Retail Package Store.

Requested Change is as follows:

From: 1. Dan Bane           President, CEO and Director  
2. Brandt Sharrock   Vice President, Clerk and Director  
3. Sharon Drabeck   Vice President, Treasurer and Director

To: 1. Dan Bane           President, CEO and Director  
303 Palmetto Drive  
Pasadena CA 91105  
DOB 12/31/1947  
  
2. Brant Sharrock   Vice President, Clerk and Director  
7 Arden Rd.  
Wellesley MA 02482  
DOB 05/12/1968  
  
3. Sharon Drakeck   Vice President and Treasurer  
1526 Winder St.  
Thousand Oaks CA 91362  
DOB 10/23/1963

4. Edward Seeker      Executive Vice President and Director  
30 Seaver St  
Wellesley MA 02481  
DOB 11/05/1960

A copy of the vote of the Board of Directors authorizing the above changes was included in the application package. Proof of citizenship was also submitted by all four corporate officers. None of the four corporate officers have a direct or indirect, beneficial or financial interest in the liquor license of Trader Joe's.

A search of applicable law enforcement databases revealed no negative information on any of the above corporate officers.

I see no reason to oppose this application.

Respectfully submitted,

Lieutenant Derek Hayes



# TOWN of BROOKLINE

## Massachusetts

### BOARD OF SELECTMEN

BETSY DEWITT, CHAIRMAN  
NANCY A. DALY  
JESSE MERMELL  
RICHARD W. BENKA  
KENNETH M. GOLDSTEIN

333 WASHINGTON STREET  
BROOKLINE, MASSACHUSETTS 02445  
TEL. (617) 730-2200  
FAX: (617) 730-2054  
[www.brooklinema.gov](http://www.brooklinema.gov)

July 13, 2012

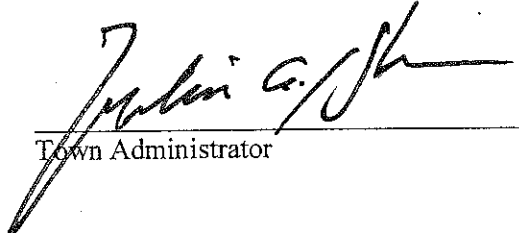
Melvin A. Kleckner  
Town Administrator

### TO TOWN MEETING MEMBERS IN PRECINCTS 8 & 10

This is to advise you that a Public Hearing will be held on the applications of Trader Joe's East, Inc., d/b/a Trader Joe's, Dan Bane, President, Blair Carroll, Manager, holder of a license To Expose, Keep for Sale and To Sell All Kinds of Alcoholic Beverages as a Retail Package Goods Store at 1317 Beacon Street, for a change of Officers as follows:

From:	Dan Bane	President, CEO and Director
	Brandt Sharrock	Vice President, Clerk and Director
	Sharon Drabek	Vice President, Treasurer and Director
To:	Dan Bane	President, CEO and Director
	Brandt Sharrock	Vice President, Clerk and Director
	Sharon Drabek	Vice President and Treasurer
	Edward Seeker	Executive Vice President and Director

For your information, the Board of Selectmen will consider this application at its meeting on Tuesday, July 24, 2012 at approximately 8:20 P.M. in the Selectmen's Hearing Room, 6<sup>th</sup> Floor Town Hall, 333 Washington Street.

  
Town Administrator

cc: Andrew F. Upton, Esq.